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## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited postage paid with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Arlington, VA 22202

on: April 5, 2002  
Carol Mitchell  
Signature Carol Mitchell

Re: Applicant(s): Gregory G. Cappiello and Robert H. Dueck  
Serial No. 09/724,770  
Filing Date: November 28, 2000  
For: ATHERMALIZATION AND PRESSURE DESENSITIZATION OF  
DIFFRACTION GRATING BASED WDM DEVICES  
Docket No.: 34013-00048

Dear Sir:

Transmitted for filing with the Patent and Trademark Office are the following documents for the above-referenced patent application:

1. Transmittal Letter;
2. Supplemental Information Disclosure Statement;
4. Form PTO-1449, with References A1-A4; and
5. Postcard acknowledgment.

Please address all communications related to this to:

Joshua A. Griswold  
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APR 09 2002

Technology Center 2600

In the event there is an under or over payment, please debit or credit our Deposit Account #10-0447. This letter is being filed in duplicate to facilitate processing.

Respectfully submitted,

Joshua A. Griswold  
Joshua A. Griswold  
Registration No. 46,310



Docket No. 34013-00048

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APR 09 2002

Technology Center 2600

Applicants: Gregory G. Cappiello and  
Robert H. Dueck

) Group Art Unit: 2661

Serial No.: 09/724,770

) Examiner: Not Assigned

Filed: November 28, 2000

For: ATHERMALIZATION AND PRESSURE DESENSITIZATION OF  
DIFFRACTION GRATING BASED WDM DEVICES

Assistant Commissioner for Patents  
P.O. Box 2327  
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CERTIFICATE OF MAILING	
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on	<u>April 7 2002</u>
Signature	<u>Carol Mitchell</u>
	Carol Mitchell

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

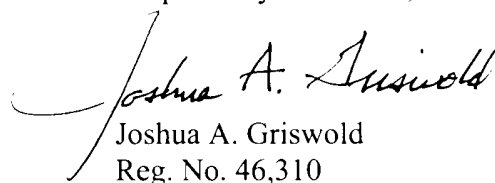
In accordance with Applicant's duty under 37 C.F.R. § 1.56 and 1.97, Applicant hereby submits the attached form PTO-1449 (modified) which lists art cited. The art listed therein, while of some relevance, is not necessarily considered to teach or suggest any aspect of the invention described and claimed in the above-identified patent application. This statement is also not to be construed as a representation that a search has, or has not, been conducted or that no better art exists. Rather, this statement discloses only the best art of which the Applicant is aware.

This Supplemental Information Disclosure Statement is being transmitted after three months from the filing date of this application, but before the first Office action on the merits. Therefore, it is believed that no fee is required. However, the Commissioner is hereby authorized to credit and/or charge our Deposit Account No. 10-0447 for any overpayment and/or fee deficiency which may be required in connection with this application.

In considering the art set forth below, it may be noted by the Examiner that certain of the references may contain markings, underlinings or other notations. These markings or notations are not to be construed as drawing the Examiner's attention either to selected parts or away from other parts of the references. Any such markings were either present on the copies of the references obtained by Applicant, or were made thereon during the study of the references by the Applicant and/or his attorneys.

The Examiner is respectfully requested to consider each of the cited references, indicate such consideration by initialing each reference on the enclosed Form PTO-1449 (modified) and return a copy of the same with the next communication to the Applicant. For the convenience of the Examiner in considering the references, copies of the cited references are enclosed with this communication.

Respectfully submitted,

  
Joshua A. Griswold  
Reg. No. 46,310

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